

Guidelines for the Sarphati Sanitation Awards 2017

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1. Background

World Waternet, Netherlands Water Partnership and Aqua for All initiated the biennial Sarphati Sanitation Award (SSA) in 2013 to honor the outstanding contribution of individuals or organizations to the global sanitation and public health challenge through entrepreneurship. The prize will be handed over by the Mayor of Amsterdam, being chairman of the SSA during the official opening of the AIWW (or his representative). The prize is endorsed by the Dutch Ministry of Foreign Affairs.

In 2015, as a result of the evaluation of 2013, a second category was added to the Award because there is a range of organizations and individuals which have contributed to put Sanitation for All as a priority on national and local political, societal and business agendas. This second Award for Life Time Achievement acknowledges the contribution of parties which have achieved a remarkable impact on the access to sanitation for people at the Base of the Pyramid.

Therefore the Sarphati Sanitation Awards 2017 will acknowledge different contributions to the sector:

1. Sarphati Sanitation Award for Lifetime Achievement in Sanitation
2. Sarphati Sanitation Award for Young Entrepreneurial Sanitation Business

2. Procedure Sarphati Sanitation Awards 2017

1. Nominations for the Sarphati Sanitation Award for Young Entrepreneurs will be done by a Nomination Committee consisting of experts from the sanitation sector, acknowledged visionaries and funding agencies.
2. Mayors and other public servants in large and smaller, fast growing cities in Africa and Asia will nominate 'Sanitation heroes' for the Lifetime Achievement Award. They will be approached by the Dutch Embassies.
3. For both nomination procedures Terms of Reference are developed to identify potential candidates.
4. Both Nomination Procedures will bring a longlist of potential candidates for the two respective awards.
5. The Nomination Committee will make a shortlist of 6 nominees per prize supported by an in depth set of arguments and will present both shortlists to the Jury.
6. Both awards are subject to a set of criteria to be applied by the Jury .
7. The Jury will make her selection and appoint a winner for the Lifetime Achievement Award.
8. The Jury will make her selection and appoint a winner for the Award for Young Entrepreneurs plus two other promising nominees.
9. During the Opening Ceremony two additional nominees for the Award for Young Entrepreneurs will be mentioned and publicly honored.
10. The Sarphati Sanitation laureate for both awards will be presented with an award certificate, a sculpture and a cash prize. The amount for the cash prize is still to be determined, with an average of € 25,000 for each prize.
11. Procedures for the Jury prescribe to invite the winners of each of the categories for the SSA Ceremony during the Amsterdam International Water Week on October 30th 2017.
12. The Lifetime Achievement Award winner as well as the three nominees for the Award for Young Entrepreneurs will be invited for the SSA Ceremony in Amsterdam.
13. The prize will be handed over by the Mayor of Amsterdam, being chairman of the SSA (or by his direct representative) during the official opening of the AIWW.

3. Terms of Reference for the Jury of the Sarphati Sanitation Award

The Sarphati Sanitation Award operates under a policy agreement between World Waternet and Aqua for All, supported by Netherlands Water Partnership and DGIS-Netherlands Ministry of Foreign Affairs. The secretariat of the award is held by Aqua for All with the support of World Waternet and Netherlands Water Partnership. The secretariat supports the jury who ultimately decides on the nomination and the winner.

Established:	May 2017
Chair of the jury appointed by:	Aqua for All and World Waternet
Jury members appointed by:	Aqua for All and World Waternet
Duration of term:	2 years. A jury member may be appointed for a second term.

Jury members representing:

Research, Business, NGOs, Sanitation Operators,
UN-organization

3.1 Sarphati Sanitation Award Jury

1. The Sarphati Sanitation Award Jury will comprise at least three and maximum five voting members, including one chairperson. Official Honorary Chair is the Mayor of Amsterdam. The Jury will nominate the chair for its work and a vice chair to secure procedures in the chair's absence.
2. The chair will provide the guidance of the selection process and will do his/her utmost best to avoid any conflict of interest between the jury (members) and the nominees.
3. The jury selects nominees by majority of votes. In case of an even number of jury members, the chair's vote is decisive.
4. The two initiating parties, World Waternet and Aqua for All, shall appoint the jury members each 2 years.

3.2 Duties & privileges

1. Each member of the jury will receive a copy of the Terms of Reference of the jury.
2. A longlist and a shortlist with nominations will be prepared by the Nomination Committee with support of the Sarphati Sanitation secretariat at Aqua for All. During the selection process each member of the jury receives copies of the original submissions of nominees and an evaluation worksheet for each nomination (within two weeks after the closing of the Nomination process).
3. Jury deliberations and conclusions will take place during (a) teleconference meeting(s), between 4 and 6 weeks after the closing of the Nominations process. It is during this teleconference that 1) three nominees are selected for each of the two Awards and 2) the winner of each of both Awards (among the three nominees for each Award) is determined. The Sarphati Sanitation Award Secretariat organizes this meeting.
4. The Honorary chair of the jury presents the findings of the jury at the Sarphati Sanitation Award ceremony during the Opening Ceremony of the AIWW.
5. The jury reserves the right to determine what constitutes acceptable quality and quantity, to set aside any nomination accompanied by supportive materials of unacceptable quality and to set aside supportive materials deemed not relevant to the nomination.
6. No nomination or expression of support for a nomination may be made by a member of the jury.
7. The secretariat will provide all support on communications, PR and logistics, linked to the award ceremony at the AIWW (October/November every two years).
8. The jury is expected to travel to Amsterdam for the Sarphati Sanitation Award Ceremony at the Amsterdam International Water Week. One day prior to the ceremony the jury comes together for preparations.

9. Travel costs to Amsterdam and maximum three days accommodation in Amsterdam will be provided for by the organizers.
10. Jury members are kindly asked to participate in debates or conferences during the Amsterdam International Water Week when appropriate. If needed, additional accommodation will be provided for. (but not paid for)
11. The three organizing Partners reserve the right not to confer the prize in a given year.

3.3 Procedure for the Jury

- The Sarphati Sanitation Nomination Committee will make a shortlist of 6 for each of the two awards which then will be presented to the esteemed Jury.
- On a specified date in 2017, the jury will gather for a virtual meeting to reflect on the nominations.
- In the spirit of Dr. Samuel Sarphati the jury will then scrutinize the nominations on their significant contribution to create sustainable solutions in the field of sanitation and public health through entrepreneurship and in the other case through an outstanding personal and/or organizational effort with a lifetime achievement applying the selection criteria as listed below.

4. Composition of the Jury

Our expert Jury is looking forward to reviewing the world's most outstanding contributions to the global sanitation and public health challenge. The Jury of the 2017 Sarphati Sanitation Award will consist of the following members, sanitation experts from the public- and private sector, the scientific world and international organizations (to be confirmed):

- The Honorary Chair Mayor of Amsterdam
- Ms Lidi Remmelzwaal Chair of the SSA Jury 2017
Chair of World Waternet, Interim Dutch Ambassador for Jordan
- Dr Arno Rosemarin Senior Research Fellow at the Stockholm Environment Institute
- Dr Graham Alabaster UN HABITAT (tbc)
- Prof. Dr Sybe Schaap Chairperson of the Netherlands Water Partnership (tbc)
- Dr Claire Furlong IHE Delft Institute for Water Education

5. Criteria for the Sarphati Sanitation Awards

5.1 Sarphati Sanitation Award for Life Time Achievement

- The nominee has an outstanding Track record in the field of sanitation and the Sarphati Sanitation Award will recognize the impact of this achievement for the sector.
- The nominee inspires new generations to become involved in finding sustainable solutions to the sanitation challenge in Urban areas.

- The nominee has proven experience in linking different partners from the public and the private sector in the quest to improve the sanitation situation.
- The nominee has contributed to ground-breaking innovations in the field of sanitation related to public health, preferably through a multi-sector approach for the Urban working field
- The nominee's work has contributed to achieve (and is expected to contribute to) the Sustainable Development Goals 6.2 and 6.3: Ensure all have access to sanitation (sewage and good waste management) and public education on healthy hygiene habits as well as an adequate treatment of water from Urban origin.

5.2 Sarphati Sanitation Award for Young Entrepreneurs

- The nominee has an outstanding track record in the field of sanitation and the Sarphati Sanitation Award will increase his or her impact in that field.
- The nominee inspires new generations to become involved in finding sustainable solutions to the sanitation challenge.
- The nominee has proven experience in linking different partners from the public and the private sector in the quest to improve the sanitation situation and public health, through an entrepreneurial approach.
- The nominee has contributed to ground-breaking innovations in the field of sanitation.
- The nominee's work has stimulated other sectors to get involved in the sanitation challenge.
- In 2017, we want to give special attention to services which play a role to accelerate the access to sanitation. This nexus approach has been focused mainly on the reuse market for sanitation by products like bio energy, irrigation water and fertilizer. Many enterprises who use this nexus approach have already been acknowledged in recent years.
- Next to the agri-, food and energy nexus examples we already mentioned, there are other, new developments which could lead to breakthroughs. To mention a few: production of agri-protein from waste, the use of mobile and other ICT technologies, media, insurance, and health.

6. Terms of Reference Sarphati Sanitation Awards Nomination Committee

The Sarphati Sanitation Award operates under a policy agreement between Waternet/Municipality of Amsterdam and Aqua for All, supported by Netherlands Water Partnership and DGIS-Netherlands ministry of Foreign Affairs. The secretariat of the award is held by Aqua for All with support of Waternet/Municipality of Amsterdam and Netherlands Water Partnership. The secretariat supports the Nomination Committee which decides on the shortlist of the nominations for both Awards to be proposed to the Jury.

Established (to be confirmed) :

March 2017

6.1 Sarphati Sanitation Award Nomination Committee:

- The Sarphati Sanitation Award Nomination Committee will consist of four to eight members, experts from the sanitation sector, acknowledged visionaries and funding agencies.
- Candidates for the Nomination Committee will be approached because of their track record in the global sanitation sector and because of their knowledge of potential candidates for (one of) both Awards.
- Members of the Nomination Committee will have no commercial interest in the parties they nominate.

6.2 Duties & privileges:

1. The Nomination Committee will focus on the SSA for Young Entrepreneurs 2017 while the nominations for the Lifetime Achievement Award 2017 will be made by the Dutch Embassies and their local sector advisors.
2. The Sarphati Sanitation Award Secretariat will provide a format for the Dutch Embassies and their local sector advisors endorsed by the Nomination Committee.
3. Each of the Nomination Committee members will nominate a minimum of two and maximum of four candidates for the Award for Young Entrepreneurs with ample justification. For this justification, the Sarphati Sanitation Award Secretariat will provide a format.
4. **Deadline for both Nomination Procedures is 31 August 2017.**
5. The Nomination Committee will gather in a virtual meeting in September 2017.
6. The Committee will discuss at the virtual meeting in September 2017: A) the longlist of (minimum 4 members x 4 nominations = 16, maximum 8 x 8 = 56) nominees for the Award for Young Entrepreneurs and B) the long list of nominees for the Lifetime Achievement, which they will receive from the Embassies.
7. The deliverable of the virtual meeting in September 2017 will be two shortlists of six nominees for each of the two Awards.
8. The Sarphati Sanitation Award Nomination Committee will receive administrative support of the Sarphati Sanitation Award Secretariat for shortlisting and communication.
9. The members of the Nomination Committee will be given, at their request, a free ticket to the Amsterdam International Water Week (AIWW).
10. The members of the Nomination Committee will cover their own travel expenses and lodging in Amsterdam during the AIWW.

7. Additional guidelines for the Sarphati Sanitation Nomination Committee

The selection of nominees clearly needs to meet the minimum requirements that are expressed in the criteria that will be used by the Jury (see 5). At the same time, there need to be additional criteria for the Nomination Committee in order to define why they would like to nominate specific candidates. These additional criteria should guide the process of how the shortlists for each of the awards will be derived from the longlist. Additional criteria may include:

- Spread in technology (with special attention for the use of Mobile Technology)
- Spread in approaches
- Spread in results
- Spread in impact (on health, environment, economic development, behavioural change, other)
- Spread in level (local, regional, global)
- Spread in geographical areas
- Spin off (jobs created, local sector development, housing policies, other)
- Sort of innovation: Disruptive, Product development, Social innovation
- Gender spin off

The Nomination Committee will justify their nomination of each of the nominees, using the Criteria for the Jury, the additional guidelines mentioned above and if applicable, other motivational criteria. These criteria need to be verifiable.

8. Composition of the Sarphati Sanitation Awards Nomination Committee

World Waternet	Steven van Rossum (CEO)
WASTE	Valentin Post
World Toilet Board	Jack Sim
World Bank Group	Martin Gambriil
Toilet Board Coalition	Cheryl Hicks
SuSaNA	To be confirmed
Sanergy	David Auerbach
Safi Sana	Aart van den Beukel
BMGF	Alyse Schrec ongost
Aqua for All	Sjef Ernes

(additional Nomination Committee members are welcome; please give your suggestions)